



STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION

AGENDA
STATE ELECTIONS ENFORCEMENT COMMISSION
REGULAR MEETING

5th Floor Conference Room

May 14, 2014

9:00 A.M.

I. Call to Order

II. Approval of the Minutes

Approval of the Minutes of the Meeting of April 16, 2014

III. Administrative Reports

IV. Pending Complaints and Investigations

A. Public Session

1. In re: Referral by the Westport Registrars of Voters, Westport
File No. 2013-119
Proposed Findings & Conclusions
Respondents: Stacy Moeller and Michael Moeller
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola

2. In the Matter of a Complaint by Marilyn Higgins, Hampton
File No. 2013-105
Proposed Findings & Conclusions
Respondents: Sarah McDermott and Dayna McDermott-Arriola
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola

3. In the Matter of a Complaint by Caleb Kleppner, New Haven
File Nos. 2010-002D & 2013-031NF
Proposed Consent Order & Agreement
Respondent: Hector Rivera
Attorney: James Talbert-Slagle
Lead Legal Investigator: Charlie Urso

4. In the Matter of a Complaint by Caleb Kleppner, New Haven
File Nos. 2010-002E & 2013-031NF
Proposed Consent Order & Agreement
Respondent: Debra Hauser
Attorney: James Talbert-Slagle
Lead Legal Investigator: Charlie Urso

5. In the Matter of a Complaint by Alicia Rivera, Hartford
File No. 2012-123
Proposed Findings & Conclusions
Respondent: Victor Luna
Attorney: James Talbert-Slagle
Lead Legal Investigator: Gilberto Oyola

6. In the Matter of a Complaint by Zuleyda Figueroa, Hartford
File No. 2012-128
Proposed Findings & Conclusions
Respondent: Victor Luna
Attorney: James Talbert-Slagle
Lead Legal Investigator: Gilberto Oyola

7. In the Matter of a Complaint by Rosa Muniz, Hartford
File No. 2012-129
Proposed Findings & Conclusions
Respondent: Victor Luna
Attorney: James Talbert-Slagle
Lead Legal Investigator: Gilberto Oyola

8. In the Matter of a Complaint by Ivette Alvarado, Hartford
File No. 2012-130
Proposed Findings & Conclusions
Respondent: Victor Luna
Attorney: James Talbert-Slagle
Lead Legal Investigator: Gilberto Oyola

9. In the Matter of a Complaint by Nellie Morales, Hartford
File No. 2012-132
Proposed Findings & Conclusions
Respondent: Victor Luna
Attorney: James Talbert-Slagle
Lead Legal Investigator: Gilberto Oyola

V. ***Executive Session per General Statutes §§ 1-200(6)(B) and (E), and 1-210(b)(1),(3),(4),(10) and General Statutes §9-7a, as amended by Public Act 11-48 §300***

(Preliminary draft and agency consideration of enforcement action and exempt records relative thereto)

1. In the Matter of a Complaint by Melissa A. Rickard, Windsor
File No. 2012-196
Proposed Consent Order & Agreement
Respondents: Karen Andrews and Anita Mips
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola
2. In re Referral of the Secretary of the State, Hartford
File No. 2014-021
Recommendation of Counsel
Attorney: Kevin M. Ahern
Legal Investigator: Scott Branfuhr
3. In the Matter of a Complaint by Mark T. Anastasi, Bridgeport
File No. 2013-141
Recommendation of Counsel
Attorney: Patrick J. Lamb
Lead Legal Investigator: Gilberto Oyola
4. Pursuant to Section 9-7b-35 of the Regulations of Connecticut State Agencies, a Request for a Finding of Reason to Believe Violations of General Statutes § 9-608 Have Been Committed.
5. Pursuant to Section 9-7b-35 of the Regulations of Connecticut State Agencies, a Request for a Finding of Reason to Believe Violations of General Statutes § 9-608 Have Been Committed.
6. In the Matter of a Complaint by Roger Pilc, Pitney Bowes, Stamford
File No. 2014-027
Recommendation of Counsel
Attorney: William B. Smith
Lead Legal Investigator: Gilberto Oyola
7. In the Matter of a Complaint by Lori Jeffers, Willimantic
File No. 2014-003
Recommendation of Counsel
Attorney: William B. Smith
Lead Legal Investigator: Gilberto Oyola
8. In the Matter the Matter of a Complaint by Antinozzi Associates, Bridgeport
File No. 2014-009
Proposed Consent Order & Agreement
Attorney: William B. Smith

9. In the Matter of a Complaint by Jeff Guttman, Monroe
File No. 2013-143
Proposed Reason to Believe
Attorney: William B. Smith
Lead Legal Investigator: Gilberto Oyola
10. Recommendation of Counsel: Necessary to Investigate Determination
pursuant to General Statutes §9-7a, as amended by Public Act 11-48 §300
11. Consideration of Draft Audit Reports
 1. “Porter for Change,” Mary E. Porter
Examiner: Karen Moreira
 2. “Friends of Jason Bartlett,” Jason Bartlett
Attorney: James Talbert-Slagle
 3. “Bartlett 2012,” Jason Bartlett
Examiner: Kristina Corbett
 4. “Ayala 2012,” Andres Ayala
Examiner: Dinorah Collado
 5. “Andres Ayala 2012,” Andres Ayala
Attorney: James Talbert-Slagle
12. Consideration of Pending Litigation and Attorney Client Privileged Matters
 - a. Advisory Opinion 2014-02: Disclosure of Expenditures in Campaign Finance Statements Pursuant to Public Act 13-180
 - b. Proposed Advisory Opinion 2014-03: Application and Enforcement of Connecticut’s Aggregate Contribution Limits from Individuals to Candidates and Committees after *McCutcheon*
 - c. *DGA v. Brandi, et al*

VI. Consideration of Matters Discussed in Executive Session

VII. Adjournment

The next regular scheduled meeting is May 29, 2014 at 4:00 P.M. in the 5th Floor Conference room.