

Regulation of the  
**Department of Motor Vehicles**

Concerning  
**Amendment Making Technical Changes to  
Department of Motor Vehicles Regulations**

Regulations adopted after July 1, 2013, become effective upon posting to the website of the Secretary of the State, or at a later date specified within the regulation.

Website posted on  
**June 1, 2015**

Effective Date  
***June 1, 2015***

Approved by the Attorney General on  
**March 10, 2015**

Approved by the Legislative Regulation Review  
Committee on  
**May 26, 2015**

Received and filed in the Office of the  
Secretary of the State on  
**May 28, 2015**

Electronic copy with agency head certification statement  
submitted to the Office of the  
Secretary of the State on  
**May 28, 2015**

Published in the Connecticut Law Journal on

**Form Regs-2** (NEW 7/2013)  
State of Connecticut  
Office of the Secretary of the State  
Legislation and Elections Administration Division

**Purpose and Legal Disclaimer:** This form was designed to facilitate submission of the "statement from the department head" required by CGS 4-172(a) as amended by PA 12-92, Section 6. This form does not constitute legal advice. The Office of the Secretary of the State (SOTS) is not authorized to provide legal advice to state agencies. Consult with your agency's legal counsel before completing and submitting this form for filing

**Instructions:** (1) Save a copy of this document to your computer; (2) To enter data, use the Tab key to move between fields, or click-and-highlight an entire <text field>; (3) When complete, submit to your agency's legal counsel for review and approval; (4) After approval by counsel, PRINT and submit to your agency head for his/her original signature; (5) Scan the originally-signed form and submit it as an email attachment, along with the electronic copy of the regulation that the statement certifies, to [regulations.sots@ct.gov](mailto:regulations.sots@ct.gov); (6) retain the originally-signed copy for your agency's regulation-making record.

*Submit the electronic copy and its certification statement to the Secretary of the State at [regulations.sots@ct.gov](mailto:regulations.sots@ct.gov) concurrently with the paper copy of the original regulation, as required by CGS Section 4-172 as amended.*

## Electronic Copy Certification Statement

I, **Andres Ayala, Jr., Commissioner** of the **Department of Motor Vehicles**, in accordance with the provisions of Section 4-172 of the *General Statutes of the State of Connecticut*, **do hereby certify:**

That the electronic copy of a regulation concerning "**Amendment Making Technical Changes to Department of Motor Vehicles Regulations**", which was approved by the Legislative Regulation Review Committee on **May 26, 2015**, and which shall be submitted electronically for filing to the Secretary of the State by **Anne F. Howroyd** of this agency on **May 28, 2015**, is a true and accurate copy of the original regulation approved in accordance with Sections 4-169 and 4-170 of the *General Statutes of the State of Connecticut*.

**In testimony whereof**, I have hereunto set my hand on **May 28, 2015**.



(Signature of agency head)

REGS-1 Rev. 09/2013  
(Title page)

*For permanent regulations*  
**First NOTICED ON AND AFTER JULY 1, 2013**

**IMPORTANT:** Use this form (REGS-1) to submit permanent regulations to the Legislative Regulation Review Committee.  
**For emergency regulations, use form REGS-1-E instead.**  
For non-substantive technical amendments and repeals proposed without prior notice or hearing as permitted by subsection (g) of CGS 4-168, as amended by PA 13-247 and PA 13-274, use form REGS-1-T instead.

*Please read the additional instructions on the back of the last page (Certification Page) before completing this form. Failure to comply with the instructions may cause disapproval of proposed regulations.*

State of Connecticut  
**REGULATION**  
of the

NAME OF AGENCY:  
**Department of Motor Vehicles**

---

**Concerning**

---

SUBJECT MATTER OF REGULATION:  
**Amendment Making Technical Changes to Department of Motor Vehicles Regulations**

---

**Section 1. Sections 14-15-3 to 14-15-9, inclusive, of the Regulations of Connecticut State Agencies are amended to read as follows:**

**Temporary Registration Transfers by Motor Vehicle Lessors**

**Sec. 14-15-3. Definitions**

As used in [Sections] sections 14-15-3 to 14-15-9, inclusive, of the Regulations of Connecticut State Agencies:

- (a) "Commissioner" means the [commissioner] Commissioner of [motor vehicles] Motor Vehicles or [his] the commissioner's designee;
- (b) "Leasing company" means a person, firm or corporation currently licensed by the commissioner [of motor vehicles] in accordance with the provisions of [Section] section 14-15 of the Connecticut General Statutes;
- (c) "Registration" means the certificate of motor vehicle registration and the number plate or plates used in connection with such registration[;].
- [(d) "Stamp" means a hand or machine operable device or mechanism adapted to imprint on a document information as required by the commissioner, or a document having such information or a seal imprinted thereon.]

**Sec. 14-15-4. Submission of application**

(a) A leasing company may make application to the commissioner for authorization to issue a [twenty-day] sixty-day temporary transfer of the current registration of a motor vehicle used in connection with its business to any other vehicle used in connection with its business. Such application shall be made in writing on a form approved by the commissioner and signed under

penalty of false statement as provided in section 53a-157 of the Connecticut General Statutes [Section 53a-157] by a person having authority to act for such leasing company. Such form shall require such information as the commissioner deems necessary.

(b) If the leasing company meets the qualifications as listed in [Section] section 14-15-5 of [this regulation] the Regulations of Connecticut State Agencies, the commissioner shall approve such application and notify the leasing company of such authorization within a reasonable time. If the application is not approved, the commissioner shall provide in writing [reasons] the reason why such application is not approved.

#### **Sec. 14-15-5. Qualifications of leasing company**

In order to be authorized to issue temporary registration transfers, a leasing company [must] shall meet the following requirements:

(a) The leasing company shall have furnished proof of financial responsibility to the commissioner in accordance with section 14-15 of the Connecticut General Statutes [Section 14-15] in the form of blanket coverage of all vehicles used in conjunction with its business. Proof of financial responsibility with respect to individual vehicles or groups of vehicles leased by the leasing company to a single lessee is not acceptable.

(b) The leasing company shall have and maintain a place of business in this state at which the business of leasing or renting vehicles without drivers is conducted during normal business hours. If such leasing company has more than one such place of business, it shall designate one of such places for the maintenance and storage of records as required by [Section] section 14-15-7 of [this regulation] the Regulations of Connecticut State Agencies[,] and shall inform the commissioner of such designation.

#### **Sec. 14-15-6. Standards for issuing temporary registration transfers**

A leasing company authorized by the commissioner to issue a [twenty-day] sixty-day transfer of a registration shall conform to the following:

(a) A transfer of registration from one leased vehicle to another leased vehicle shall be valid only if both vehicles are passenger vehicles, not including motorcycles, and if each vehicle is either a new vehicle not previously registered in this state and having a certificate of origin, or a vehicle having a certificate of title in this state without a lien holder listed thereon where such vehicle is less than ten (10) years old such that inspection is not required upon a transfer of registration;

[(b) A leasing company shall use the stamp(s) as directed by the commissioner only for a transfer of registration as provided in subsection (a) of this section. Any other use of such stamp(s) shall be a violation;]

[(c)](b) A leasing company which transfers a registration in accordance with [this regulation] sections 14-15-4 to 14-15-9, inclusive, of the Regulations of Connecticut State Agencies, shall submit to the commissioner within five (5) business days after such transfer an application for permanent registration for the vehicle transferred together with all necessary documents and any payment(s) required by law. Failure to submit documents or payment(s) within the time specified shall be a violation.

#### **Sec. 14-15-7. Records to be maintained**

(a) An authorized leasing company shall maintain the following records at its place of business in Connecticut, or if such company has more than one place of business at a designated place of business in Connecticut, for a period of no less than three (3) years after a transfer of registration in

accordance with [this regulation] sections 14-15-4 to 14-15-9, inclusive, of the Regulations of Connecticut State Agencies, and for a period of at least one (1) year after the expiration of a lease of a vehicle involved in a transfer of registration in accordance with [this regulation] sections 14-15-4 to 14-15-9, inclusive, of the Regulations of Connecticut State Agencies:

- (1) A copy of the purchase order and the invoice issued at the time of purchase of the vehicle by the leasing company; and
- (2) If not otherwise required by law, records showing vehicle make, year, model, whether purchased new or used, vehicle identification number (VIN), purchase price, any guarantees or warranties, and all documents relating to prior ownership, use, condition, registration or title.
- (b) Each authorized leasing company shall maintain the records enumerated in subsection (a) of this section available for inspection by the commissioner [or his representative] during normal business hours upon written notice.
- (c) Failure of an authorized leasing company to maintain such records or to make such records available for inspection shall be a violation.

#### **Sec. 14-15-8. Violations**

- [(a)] A leasing company which is found to have committed a violation of [this regulation] sections 14-15-4 to 14-15-9, inclusive, of the Regulations of Connecticut State Agencies, or a violation of any statute or regulation pertaining to its business as a leasing company shall be subject to loss of the privilege to transfer registrations for a period as determined by the commissioner after notice and a hearing in accordance with Chapter 54 of the Connecticut General Statutes.
- [(b)] A leasing company which does not renew its leasing license, or has its privilege to transfer registrations suspended, shall return its stamp(s) to the commissioner upon request.]

#### **Sec. 14-15-9. Miscellaneous provisions. Lemon Law**

- (a) Transfer of registration in accordance with [this regulation] sections 14-15-4 to 14-15-9, inclusive, of the Regulations of Connecticut State Agencies, will not be permitted for vehicles which require a duplicate title for registration thereof, or for vehicles which have been last registered outside this state.
- (b) All leasing companies subject to [this regulation] sections 14-15-4 to 14-15-9, inclusive, of the Regulations of Connecticut State Agencies, shall comply with the provisions of Chapter 743b of the Connecticut General Statutes and all regulations thereunder which relate to return of a motor vehicle from a consumer for replacement or refund due to a nonconformity or defect. Failure of a leasing company to comply with such laws and regulations shall be a violation.
- (c) Only one [twenty-day] sixty-day temporary registration transfer shall be made with respect to any vehicle.

**Section 2. Sections 14-33-1 to 14-33-2, inclusive, of the Regulations of Connecticut State Agencies are amended to read as follows:**

### **Municipal Parking Tickets Program**

#### **Sec. 14-33-1. Purpose**

The purpose of sections 14-33-2 to 14-33-8, inclusive, of the Regulations of Connecticut State Agencies, is to implement the provisions of subsection (c) of section 14-33 of the Connecticut General Statutes to assist local governments in improving the collection of fines for parking

violations by multiple offenders. Sections 14-33-2 to 14-33-8, inclusive, of the Regulations of Connecticut State Agencies provide guidance to municipalities concerning the administrative requirements for participation in the program, as authorized by said subsection (c) of section 14-33 of the Connecticut General Statutes.

**Sec. 14-33-2. Definitions**

As used in sections 14-33-2 to 14-33-8, inclusive, the following words and phrases shall have the following meanings:

- (a) "Commissioner" means the [commissioner] Commissioner of [motor vehicles] Motor Vehicles or [his] the commissioner's authorized designee.
- (b) "Parking violation" means any authorized citation for a violation of municipal parking laws, regulations or ordinances that was not contested by the violator, or, having been contested, has been determined in favor of the municipality.
- (c) "Unpaid fine" means any fine for a parking violation that has not been paid by the violator after notice and reasonable opportunity to make payment has been afforded by the municipality.

**Section 3. Sections 14-33-4 to 14-33-8, inclusive, of the Regulations of Connecticut State Agencies are amended to read as follows:**

**Sec. 14-33-4. Application**

Any municipality applying to participate in the municipal parking ticket program shall submit formal written request to the commissioner at 60 State Street, Wethersfield, CT 06161. This request shall be made by an official of the municipality. Once the Department of Motor Vehicles has determined that a municipality meets the eligibility criteria, the commissioner shall provide the applicant with written acknowledgement of its participation. Each participating municipality shall designate and provide to the commissioner the name of a contact person for the program, who shall be available during normal business hours.

**Sec. 14-33-5. Notification of owners**

A participating municipality shall notify the commissioner of every owner of a registered motor vehicle which has unpaid fines for more than five (5) parking violations committed within such municipality on or after March 1, 1989. This notification shall be [on] in a [form or magnetic tape or similar] format [as] prescribed by the commissioner, to be furnished and updated at not less than thirty (30) day intervals. The information to be contained within such notification shall include but shall not be limited to the following: the name of the owner(s), address, class code of the vehicle, and vehicle registration number. Accompanying the notification shall be a statement certifying that such owner or owners have more than five (5) such violations.

**Sec. 14-33-6. Registrations affected**

Upon notification by the municipality in accordance with section 14-33-5 of the Regulations of Connecticut State Agencies the commissioner shall not issue or renew a motor vehicle registration in the name of the owner or owners therein reported.

**Sec. 14-33-7. Notification of payment status**

Each municipality shall be responsible for prompt notification to the commissioner that an owner or owners previously reported to have unpaid fines have made the necessary payment or payments, or

have otherwise satisfied the municipality concerning outstanding parking violations. Such notification [SHALL] shall be received by the commissioner before a registration will be issued or renewed. In the case of notification by telephone or other electronic means, the municipality shall be responsible for transmission on a prompt follow-up basis of written documentation if so requested by the commissioner.

**Sec. 14-33-8. Exception for lessors**

Sections 14-33-1 to 14-33-7, inclusive, of the Regulations of Connecticut State Agencies, shall not apply to an owner who is a person, firm or corporation involved in leasing or renting motor vehicles without drivers in this state with respect to any motor vehicle which is leased or rented.

**Section 4. Sections 14-48c-1 to 14-48c-3, inclusive, of the Regulations of Connecticut State Agencies are amended to read as follows:**

**Registration of Motor Vehicles Engaged in Seasonal Operations**

**Sec. 14-48c-1. Definitions**

As used in [Sections] sections 14-48c-1 to 14-48c-3, inclusive, of the Regulations of Connecticut State Agencies, the following words shall have the following meanings:

- (1) "Commercial motor vehicle engaged in seasonal operations" means any motor vehicle, including a trailer, used to transport merchandise, freight or persons in connection with any business enterprise and for which a commercial registration is issued in accordance with the fee schedule of subsection (a) of [Section] section 14-47 of the Connecticut General Statutes.
- (2) "Registration year" means the period beginning during the month of April and ending during the month of April the following year.
- (3) "Seasonal operations" means operation of a commercial motor vehicle for construction, farming, landscaping, oil delivery and like industries where business demands vary with the seasons of the year.
- (4) "Permanent registration" means a registration issued in accordance with the provisions of subsection (a) of [Section] section 14-47 of the Connecticut General Statutes.

**Sec. 14-48c-2. Registration. Expiration date. Fee**

- (a) Any commercial motor vehicle engaged in seasonal operations may be registered for a six (6) month period during any registration year. The six (6) months shall be consecutive.
- (b) Any seasonal registration issued in accordance with this section shall expire in the sixth calendar month after such registration is issued as follows:
  - (1) A registration issued during the first fifteen (15) days of any month shall expire on the fifteenth day of the sixth month; and
  - (2) A registration issued after the first fifteen (15) days of any month shall expire on the last day of the sixth month.
- (c) Notwithstanding the provisions of subsection (b) of this section:
  - (1) A seasonal registration shall not be effective prior to expiration of an existing registration issued for the same commercial motor vehicle; and
  - (2) Any seasonal registration effective after October 31 of any year shall expire during the next following April.

(d) The fee for a registration issued in accordance with subsection (a) of this section shall be one-half of the annual registration fee provided in subsection (a) of [Section] section 14-47 of the Connecticut General Statutes.

(e) Nothing in this section shall prohibit the issuing within a registration year of a permanent registration or a second seasonal registration upon the expiration of a first seasonal registration, provided that such permanent registration or such second seasonal registration shall expire no later than the next following April 30.

**Sec. 14-48c-3. Insurance. Inspection. Emissions**

(a) A commercial motor vehicle registered in accordance with the provisions of subsection (a) of [Section] section 14-48c-2 of the Regulations of Connecticut State Agencies shall be subject to all insurance requirements imposed by law.

(b) A motor vehicle registered in accordance with the provisions of subsection (a) of [Section] section 14-48c-2 of the Regulations of Connecticut State Agencies shall be subject to all other applicable requirements of law including emissions standards.

(c) The [commissioner of motor vehicles] Commissioner of Motor Vehicles may issue a distinguishing number plate to a vehicle registered in accordance with the provisions of subsection (a) of [Section] section 14-48c-2 of the Regulations of Connecticut State Agencies.

(d) No late fee as provided in subsection [(aa)](z) of [Section] section 14-49 of the Connecticut General Statutes shall be assessed upon the issuing of a seasonal registration.

(e) No inspection shall be required for a commercial motor vehicle issued a seasonal registration provided that the vehicle has been registered previously in this state to the same owner or owners.

**Section 5. Section 14-137-43 of the Regulations of Connecticut State Agencies is amended to read as follows:**

**Acceptable Forms of Payment of Motor Vehicle Fees and Handling of Cash Receipts**

**Sec. 14-137-43. Payment of fees**

The payment of all fees to the [Commissioner] commissioner provided for in Chapter 246 [through] to Chapter 248, inclusive, of the Connecticut General Statutes shall be by means of cash, personal check, or form of certified or bank draft that is immediately payable without recourse. All checks [must] shall be:

(1) completed[.];

(2) made payable to [the Commissioner or] the Department of Motor Vehicles, or other official or agency, such as the Commissioner of Revenue Services, for which the [Department] department is acting as collection agent[.];

(3) identified to the specific transaction[.]; and

(4) shall be in the exact amount required by the transaction. No third party checks or incomplete or postdated instruments [will] shall be accepted. No cash refunds [in excess of ten (\$10) dollars will] shall be made at the main office or any branch office of the [Department] department. The commissioner retains the option to accept the use of commercial credit cards as a form of payment, provided the same shall be approved [in the future] by the Office of the State Treasurer.

**Section 6. Section 14-137-61 of the Regulations of Connecticut State Agencies is amended to read as follows:**

## Assessment of Late Fee for Motor Vehicle Registration Renewal

### **Sec. 14-137-61. [Mailing] Receipt of renewal application**

For the purpose of assessment of a late fee for renewal of motor vehicle registration in accordance with subsection (z) of section 14-49 of the Connecticut General Statutes [Section 14-49 (aa)], a registration renewal application that is properly completed and signed and includes payment of the proper fee shall be considered submitted in a timely manner if [transmitted by mail in response to the department's request and] received by the department not more than five (5) calendar days after the scheduled expiration date of the registration period.

### **Section 7. Sections 14-137-123 to 14-137-124, inclusive, of the Regulations of Connecticut State Agencies are amended to read as follows:**

#### **[Camp] Trailers**

### **Sec. 14-137-123. Definitions**

As used in sections 14-137-123 and 14-137-124 of the Regulations of Connecticut State Agencies:

- (1) "Fifth wheel" shall have the same meaning as provided in 49 CFR 393.5;
- (2) "Trailer" includes camp trailers, commercial trailers, pole trailers, semitrailers, utility trailers, and all trailers as each is defined in section 14-1 of the Connecticut General Statutes; and
- (3) "Trailer hitch or coupling" means the device that is used to securely attach the trailer to the towing vehicle.

### **Sec. 14-137-124. Towing of trailers**

(a) Every trailer hitch or coupling, used as a means of attaching a trailer to the towing vehicle, shall be properly and securely mounted, be structurally adequate and properly rated for the weight drawn. In addition to the trailer hitch or coupling, every trailer, with the exception of a semitrailer drawn by a tractor or truck tractor by means of a fifth wheel, shall be connected to the frame of the towing vehicle by means of a safety chain, chains, cables or equivalent safety device which shall be of sufficient strength to control the trailer in the event of a failure of the regular trailer hitch or coupling. Every trailer while being drawn upon the public highways in this state shall be so attached to the vehicle drawing such trailer as to prevent the wheels of said trailer from being deflected more than six inches from the path of the towing vehicle's wheels.

(b) Each motor vehicle used for towing a trailer shall be equipped with two external mirrors, so located and adjusted on such vehicle as to give the operator thereof a clear reflected view of the highway directly to the rear of or on a line parallel to the left and right sides of the body of such motor vehicle.

**REGS-1** Rev. 09/2013  
(Statement of Purpose page)

## **Statement of Purpose**

*Pursuant to CGS Section 4-170(b)(3), "Each proposed regulation shall have a statement of its purpose following the final section of the regulation." Enter the statement here.*

All of the amended sections in this proposal identify changes to various agency regulations that have not been updated for many, many years and have recently come to light as a result of the Governor's Executive Order # 37. Many contained herein are due to changes in motor vehicle policies and procedures over this time period of approximately the last two decades. It also incorporates technical drafting changes adopted by the Legislative Regulation Review Committee of the General Assembly. All of the proposed amendments are technical in nature with no legal impact to the public.

The following sections are the subject of this regulation:

1. Temporary Registration Transfers by Motor Vehicle Lessors- adopted in 1992;
2. Municipal Parking Tickets Program- last amended in 1996;
3. Registration of Motor Vehicles engaged in Seasonal Operations-adopted in 1995;
4. Payment of Fees – adopted in 1989;
5. Mailing of application-adopted in 1992;
6. [Camp] Trailers- title of regulation's subject matter was from 1967 and the regulations specific to camp trailers were repealed in 2010 and replaced with 14-137-123 and 14-137-124. The 2010 amendment expanded the class of trailers that are subject to the regulations but do not pertain to "camp" trailers any longer.

REGS-1 Rev. 09/2013

(Certification page—see Instructions on back)

**CERTIFICATION**

*This certification statement must be completed in full.*

**I hereby certify that the above Regulation(s)**

1) is/are (check all that apply)  adopted  amended  repealed by this agency pursuant to the following authority(ies): (complete all that apply)

a. **Connecticut General Statutes section(s)** 14-15-3 to 14-15-8; 14-33-1 to 14-33-2; 14-33-4 to 14-33-8; 14-48c-1 to 14-48c-3; 14-137-43; 14-137-61; 14-137-123 to 14-137-124.

b. **Public Act Number(s)** \_\_\_\_\_.  
(Provide public act number(s) if the authorizing act has not yet been codified in the Connecticut General Statutes.)

**And I further certify**

2) that **Notice of Intent** to adopt, amend or repeal said regulation(s) was electronically submitted to the Secretary of the State on December 12, 2014, and posted to the Secretary's regulations website on December 12, 2014; (Insert dates notice was (a) emailed to the Secretary of the State and (b) posted on the Secretary's website, if notice and posting were required by CGS 4-168, as amended by PA 13-247 and PA 13-274.)

3) and that a public hearing regarding the proposed regulation(s) was held on \_\_\_\_\_ or  that no public hearing was held; (Insert date(s) of mandatory public hearing(s) held pursuant to CGS 4-168(a), as amended, or other applicable statute, and/or voluntary hearing, or if no hearing was held, check the box for that statement.)

4) and that notice of **Decision to Take Action** on said regulations was electronically submitted to the Secretary of the State on January 23, 2015, and posted to the Secretary's regulations website on January 30, 2015; (Insert dates notice was (a) emailed to the Secretary of the State and (b) posted on the Secretary's website, if notice and posting were required by CGS 4-168, as amended by PA 13-247 and PA 13-274.)

5) and that said regulation(s) is/are **EFFECTIVE** (check one, and complete as applicable)  
 When posted online by the Secretary of the State.

OR  on (insert date) \_\_\_\_\_.

6) SIGNED (Head of Board, Agency or Commission)	OFFICIAL TITLE, DULY AUTHORIZED Commissioner of Motor Vehicles	DATE <u>2/3/15</u>
---	---	-----------------------

APPROVED by the Attorney General as to legal sufficiency in accordance with CGS Section 4-169, as amended.		
DATE <u>3/10/15</u>	SIGNED (Attorney General or AG's designated representative) <u>Joseph Rubin</u>	OFFICIAL TITLE, DULY AUTHORIZED ASSOC. ATTY. GENERAL

Proposed regulations are **DEEMED APPROVED** by the Attorney General in accordance with CGS Section 4-169, as amended, if the Attorney General fails to give notice to the agency of any legal insufficiency within thirty (30) days of the receipt of the proposed regulation.

(For Regulation Review Committee Use ONLY)

APPROVED  in WHOLE or WITH  technical corrections  deletions  substitute pages

DEEMED APPROVED, pursuant CGS 4-170(c), as amended.

Rejected without Prejudice  Disapproved, pursuant to CGS 4-170(c), as amended.

By the Legislative Regulation Review Committee in accordance with CGS Section 4-170, as amended	DATE <u>5-26-15</u>	SIGNED (Administrator, Legislative Regulation Review Committee) <u>[Signature]</u>
---	------------------------	---

In accordance with CGS Section 4-172, as amended by PA 13-247 and PA 13-274, one certified paper copy and one electronic copy with agency head certification statement received on the date(s) specified below.

DATE	SIGNED (Secretary of the State)	BY
------	---------------------------------	----

(For Secretary of the State Use ONLY)

Date Posted to SOTS Regulations Website:

Date Electronic Copy Forwarded to the Commission on Official Legal Publications:

SOTS file stamp:

**REGS-1** Rev. 09/2013  
(Instructions page)

## GENERAL INSTRUCTIONS

1. All regulations proposed for adoption, amendment or repeal, *except* emergency regulations, must be presented to the Attorney General for determination of legal sufficiency. (See CGS Section 4-169.)
2. After approval by the Attorney General, the original and one electronic copy (in Word format) of all regulations proposed for adoption, amendment or repeal must be presented to the Legislative Regulation Review Committee for its action. (See CGS Section 4-168, as amended by PA 13-247, section 28, and PA 13-274, and CGS Section 4-170.)
3. Each proposed regulation section must include the appropriate regulation section number and a section heading. (See CGS Section 4-172.)
4. New language added to an existing regulation must be in underlining or CAPITAL LETTERS, as determined by the Regulation Review Committee. (See CGS 4-170(b).)
5. Existing language to be deleted must be enclosed in [brackets]. (See CGS 4-170(b).)
6. A completely new regulation or a new section of an existing regulation must be preceded by the word "(NEW)" in capital letters. (See CGS Section 4-170(b).)
7. The proposed regulation must have a statement of its purpose following the final section of the regulation. (See CGS Section 4-170(b).)
8. The *Certification Statement* portion of this form must be completed, including all applicable information regarding notice submission and website posting date(s) and public hearing(s). (See more specific instructions below.)
9. Additional information regarding rules and procedures of the Legislative Regulation Review Committee can be found on the Committee's web site: <http://www.cga.ct.gov/rr/>.
10. A copy of the Legislative Commissioners' Regulations Drafting Manual is located on the LCO website at [http://www.cga.ct.gov/lco/pdfs/Regulations\\_Drafting\\_Manual.pdf](http://www.cga.ct.gov/lco/pdfs/Regulations_Drafting_Manual.pdf).

## CERTIFICATION STATEMENT INSTRUCTIONS

(Numbers below correspond to the numbered sections of the Certification Statement page)

1. a) Indicate whether the regulation contains newly adopted sections, amendments to existing sections, and/or repeals of existing sections. **Check all cases that apply.**  
b) Indicate the specific legal authority that permits or requires adoption, amendment or repeal of the regulation. If the relevant public act has been codified in the most current biennial edition of the *Connecticut General Statutes*, indicate the relevant statute number(s) instead of the public act number. If the public act has not yet been codified, indicate the relevant public act number.
2. An agency must electronically submit notice of its intent to adopt the regulation to the Secretary of the State at [regulations.sots@ct.gov](mailto:regulations.sots@ct.gov) for posting on the Secretary's regulations website. Enter both the date notice of intent was submitted to the Secretary of the State *and* the date the notice was posted on the Secretary's website. For emergency regulations, use Form Regs-1-E instead of this form. For non-substantive technical amendments and repeals adopted without prior notice or hearing as permitted by subsection (g) of CGS 4-168, use Form REGS-1-T instead of this form.
3. CGS 4-168(a), as amended by PA 13-247 and PA 13-274, prescribes requirements for holding a public hearing on proposed regulations. Enter the date(s) of all hearing(s) held under that section, if any, also enter the date(s) of any hearing(s) the agency was required to hold under the provisions of any other law; and enter the date(s) of any public hearing(s) the agency elected to hold voluntarily. If no public hearing was held, mark (X) the check box.
4. **NEW REQUIREMENT:** CGS 4-168(d), as amended by PA 13-247 and PA 13-274, prescribes requirements electronically submitting notice of decision to take action (proceed with adoption) of a proposed regulation for posting to the Secretary's regulations webpage. Enter both the date notice of decision was submitted to the Secretary of the State *and* the date the notice was posted on the Secretary's website.
5. As applicable, enter the specific effective date of the regulation; or indicate that it is effective upon posting online by the Secretary of the State. **Please note the important information below.**  
Permanent regulations adopted after July 1, 2013 are effective upon posting online by the Secretary of the State (SOTS), or at a *later* date specified by the agency, or at a *later* date if required by statute. See CGS 4-172(b). An effective date may not precede the date of posting online by SOTS, and it may not precede the effective date of the public act requiring or permitting the regulation.
6. Submit the original proposed regulation to your agency commissioner for signature.