

Connecticut State Board of Accountancy
July 07, 2011
Minutes

Chairman Thomas F. Reynolds called the meeting to order at 08:30 A.M. at the State Board of Accountancy, 30 Trinity Street 250, Hartford, CT 06106.

Present:

Thomas F. Reynolds, CPA, Chairman
James S. Ciarcia
Philip J. DeCaprio, Jr., CPA/ABV/CFF, Cr.FA, CVA
Richard H. Gesseck, CPA
Leonard M. Romaniello, Jr., CPA, CITP, CFE, MST
Lee R. Schlesinger
Richard L. Sturdevant
Martha S. Triplett, Esq.
Michael Weinshel, CPA

Staff Members Present:

David L. Guay, Executive Director
Angel D. Acevedo, Office Assistant

Motion was made by Leonard Romaniello and seconded by Richard Sturdevant to approve the minutes of the June 07, 2011 Board Meeting. All voted in favor; no votes against and no abstentions.

The Board was informed that as of July 01, 2011 the Board of Accountancy was transferred into the Secretary of State's Office and will share an attorney when needed.

The Board also noted, Board Members James Ciarcia, Michael Weinshel and Executive Director, David Guay were in attendance at the NASBA Regional Meeting in Alabama.

The Board reviewed and noted, for the record, CPA Certificate Registration, CPA License & Firm Permit Applications approved by the Executive Director June 07, 2011 through July 06, 2011.

A motion was made by Michael Weinshel and seconded by Philip DeCaprio to approve Ms. Deborah Anne Bodie's non-public experience. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Leonard Romaniello to approve Ms. Kelly Mansdorff's non-public experience. All voted in favor; no votes against and no abstentions.

A motion was made by James Ciarcia and seconded by Leonard Romaniello to approve the non-public experience claimed by Mr. Vincent Patrick Cleary. All voted in favor; no votes against and no abstentions.

A motion was made by Leonard Romaniello and seconded by Philip DeCaprio to deny the non-public experience submitted by Mr. Ryan Michael Bubucis. All voted in favor; no votes against and no abstentions.

A motion was made by Michael Weinshel and seconded by Leonard Romaniello to approve Mr. Wenjun Dai's non-public experience. All voted in favor; no votes against and no abstentions.

A motion was made by James Ciarcia and Leonard Romaniello to approve Ms. Laura J. Zis' non-public experience. All voted in favor; no votes against and no abstentions.

A motion was made by Leonard Romaniello and seconded by Lee Schlesinger to approve Mr. Raymond S. Peach's request for an extension of time to meet the required 40 hours of CPE. All voted in favor; no votes against and no abstentions.

Under Public Comment, Mr. Arthur Renner, Executive Director of CSCPA; Mr. Greg Lainas, President of the CSCPA, addressed the Board briefly.

PUBLIC SESSION- ENFORCEMENT AGENDA

2011004-3552 – Donarell B. Elder, CPA – Requesting settlement approval for alleged failure to renew firm permit to practice for 2010 settled for \$100.00.

A motion was made by Philip DeCaprio and seconded by Martha Triplett to approve the settlement agreement in case # 2011004-3552, against Mr. Elder. All voted in favor; no votes against and no abstentions.

2011015-3563 – Scott R. Fawcett, CPA and the Firm of Scott R. Fawcett, CPA – Requesting settlement approval for alleged failure to renew individual license for 2009 and alleged failure to report CPE for the period of July 1, 2007 through June 30, 2008 and alleged failure to renew his firm permit to practice for 2010 and alleged failure to provide a waiver form for quality review for the years 2008-2011, Settlement includes the reporting of 40 hours of CPE earned between July 1, 2007 through June 30, 2008; filing of a quality review waiver form for the years 2008 through 2011 and penalty fee of \$500.00

A motion was made by Michael Weinshel and seconded by Leonard Romaniello to approve the settlement agreement in case # 2011015-3563, against Mr. Fawcett & the Firm of Scott R. Fawcett, CPA. All voted in favor; no votes against and no abstentions.

2011031-3648 – Colleen V. O'Neill, CPA – Requesting settlement approval for alleged failure to report continuing professional education settled for \$750.00.

A motion was made by James Ciarcia and seconded Richard Gesseck to approve the settlement agreement in case # 2011031-3648, against Mrs. O'Neill. All voted in favor; no votes against and no abstentions.

2011038-3655 – Smith, Watson & Company, LLP – Requesting settlement approval for alleged failure to submit Quality Review reports and acceptance letters for 2003, 2006 and 2009. Settlement includes filing of reports and acceptance letters along with a penalty fee of \$750.00.

A motion was made by Philip DeCaprio and seconded Leonard Romaniello to approve the settlement agreement in case # 2011038-3655, against the Firm of Smith, Watson & Company, LLP. All voted in favor; no votes against and no abstentions.

A motion was made by Philip DeCaprio and seconded by Richard Sturdevant to adjourn the July 07, 2011 Board Meeting of the Connecticut State Board of Accountancy at 09:33 A.M. All voted in favor; no votes against and no abstentions.